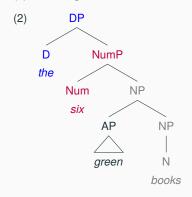
## **Revisiting Russian small nominals**

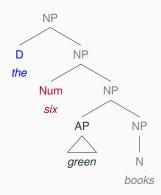
Pavel Rudnev, HSE University

# Background

## Approaches to noun-phrase structure

(1) the six green books





## Asymmetries between clauses and nominals (Bruening et al. 2018) i

Selection in clauses targets the highest head and ignores the rest:

- · Question vs. declarative
  - a. Sue thinks that/\*whether the world is flat.
  - b. Sue wonders whether/\*that the world is flat.
- Finite vs. non-finite
  - a. Bertrand wants the world to be flat.
  - b. \*Bertrand wants that the world is flat.
- · Subjunctive vs. indicative
  - a. Sue asked that the answer be/\*is two.
  - b. Sue thinks that the answer \*be/is two.

Different verbs select clauses of various size

- The police seems [TP to have left ]
- Mary had [VP her brother open the door ]
- I want [VP] it understood that the order was given ]

## Asymmetries between clauses and nominals (Bruening et al. 2018) ii

Selection in nominals does the exact opposite: if a verb selects a nominal, it ignores all of its functional structure.

- · Non-existing selection pattern A
  - · Samuel is streading a book/\*the book.
- · Non-existing selection pattern B
  - John glorped books/\*his books.

(Bruening et al. 2018 have another selectional asymmetry between clauses and nominals based on form determination, but I omit it from today's discussion.)

#### Larson (2019) on selection

Salzmann (2020), citing Larson (2019), claims that the following facts support the DP-hypothesis and disfavour the NP-hypothesis

- · every man/happiness/\*happy
  - D ('every') c-selects N ('man/happiness') and doesn't c-select A ('happy'), and projects a DP

However, in that instance adjectives in A+N combinations must be heads, since the pattern is identical:

- · beautiful flowers/\*floral
  - A ('beautiful') would have to c-select N ('flowers') without c-selecting A ('floral'), and project an AP

Larson's argument doesn't work as intended.

#### Selection of functional structure inside nominals?

Recall the non-existing selection pattern B:

- · John glorped books/\*his books.
- John glorped three books/\*the three books.

If such a selection pattern were attested, one could mount a feasible defence of the DP-hypothesis.

Small nominals

#### **Terminological clarifications**

- 'truncated', pseudo-/semi-incorporated noun (phrase)
  - have lunch, be in prison/être en prison, have a heart of gold etc.
- noun phrase containing some functional material but lacking a D-element
  - e.g. a projection of Q (Pereltsvaig 2006)

#### Properties of small nominals (Pereltsvaig 2006: 494)

According to Pereltsvaig (2006), small nominals in this sense cannot

- a. have an individuated interpretation
- b. be specific
- c. have a partitive interpretation
- d. take non-isomorphic wide scope
- e. serve as controllers of PRO
- f. bind reflexives and reciprocals
- g. trigger external agreement

Moreover, small nominals cannot be replaced by pro-DP elements but can be replaced by pro-QP elements.

Finally, 'not only can Small Nominals appear in argument positions, but they can be specifically selected by a head.' (Pereltsvaig 2006: 455)

#### Basic distributional contrasts (Pereltsvaig 2006: §2.2)

Objects of verbs with cumulative prefix *na*- can be articleless:

- (3) Dzheĭms Bond skopiroval chertezhi. James Bond copied blueprints.acc 'James Bond copied {some/the} blueprints.'
- (4) Dzheĭms Bond na-kopiroval chertezheĭ. James Bond cML-copied blueprints.GEN 'James Bond copied (many) blueprints.'

#### And they cannot be bigger than QP:

- (5) \* Dzheĭms Bond na-priglashal [ ètu dyuzhinu krasotok ] James Bond cml-invited this dozen babes ('James Bond invited these dozen babes.')
- (6) \* Dzheĭms Bond na-priglashal [ nas / menya ]

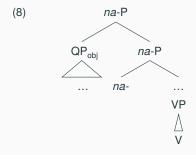
  James Bond cмL-invited us me

  ('James Bond invited {us/me} a lot.')
- (7) \* Dzheĭms Bond na-priglashal [ Ivanovŷkh / Ivanova ] James Bond cml-invited Ivanovs Ivanov ('James Bond invited {the Ivanovs/Ivanov} a lot.')

I share these judgements.

#### Selection of QP by na-

Pereltsvaig (2006) analyses the aforementioned distributional patterns as following from a selectional requirement imposed by the perfectivising cumulative prefix *na*-.



I now show that this structure cannot be correct because the observation it aims to capture does not hold.

## Let's recall the generalisation

'My claim here is that they [i.e. objects of cumulative *na*- verbs — PVR] crucially cannot be DPs, as evidenced by the fact that these nominals cannot include D-level elements, such as a demonstrative, a pronoun, or a proper name.' (Pereltsvaig 2006: 457)

I have searched the web for sentences containing a cumulative *na*- verb with an internal argument modified by a demonstrative or possessive pronoun, or realised as a personal pronoun.

#### Cumulative na- verbs are compatible with demonstratives

- (9) Esli vỹ na-priglashali ètikh gosteĭ, to sami ikh i rasselyaĭte if you cmL-invited these guests that yourselves them and settle 'If you have invited these guests here, then feel free to settle them yourselves.' https://echo.msk.ru/blog/echomsk/1694466-echo/
- (10) Po suti, na-priglashali tekh, kto obelyaet výrodka by essence cм∟-invited those who whitewash bastard 'They essentially invited those who had been whitewashing the bastard.' https://twitter.com/Ponasenkov/status/1229410467694727171?s=20

#### Cumulative na- verbs are compatible with possessives

(11) Na èpizodicheskie roli Lyuk Besson na-priglashal svoikh on supporting roles Luc Besson cml-invited Poss:REFL druzeĭ-rezhissërov Lui Leter'e, Zherara Kravchika, Èrika Roshana i friends-directors Louis Leterrier Gérard Krawczyk Éric Rochant and drugikh others

'Luc Besson invited his film-director friends Louis Leterrier, Gérard Krawczyk, Éric Rochant and others to play supporting roles.' https://afishaplus.ru/valerian-and-the-city-of-a-thousand-planets-review

## Cumulative na- verbs are compatible with pronouns

- (12) Na-priglashal ikh vsekh na svoyu registratsiyu... teper' ne znayu, kak cmL-invited them all on Poss registration now not know how vsë razrulit' all sort.out
  - 'I invited them lot to my wedding ceremony... and I don't know how to sort it all out now.' https://proza.ru/2012/02/05/1047
- (13) Dochka osvoila planshet i skoree vsego na-priglashala vas daughter mastered tablet and likely cml-invited you kuda ni popadya where.not.going 'My daughter has mastered the tablet and has likely invited you lot to all sorts of places.'
- (14) Na-vezli nas syuda so vseĭ stranȳ i brosili.

  cml-brought us here from all country and left

  'They have brought us here from the entire country and left for good.'

#### Other verbs

Other verbs in addition to *na-priglashat*' 'CML-invite':

- · naestsya 'eat oneself full'
- nakopirovať 'copy'
- · nasobirat' 'pick/collect'
- napridumyvat' 'invent'

There are bound to be many others, since the pattern of *na*-prefixation is completely productive.

#### Internet data: summary

Internet data reveal many naturally occurring sentences that the c-selection for QP analysis predicts should not exist.

I have informally queried a couple dozen Russian-speaking colleagues, all of whom find the examples like the above completely natural and acceptable.

## Two hypotheses with distinct predictions

Our two hypotheses — the NP hypothesis and the DP/QP hypothesis – make very clear and diverging predictions.

#### DP/QP hypothesis

 clear contrast in acceptability between 'QP'- and 'DP'-sized objects of cumulative na- verbs

#### NP hypothesis

 roughly identical acceptability of 'QP'- and 'DP'-sized objects of cumulative na- verbs

These can be tested experimentally.

## Online acceptability survey

#### Ibex Farm<sup>1</sup>

- 8 pairs of sentences containing cumulative na- verbs and an internal argument
  - 4 corpus sentences containing a D-element (condition has\_D)
  - 4 matching sentences without a D-element (condition no\_D)
- 1 pair member per subject
- · 7-point Likert scale
- · 16 pairs of fillers, half grammatical, half ungrammatical

<sup>&</sup>lt;sup>1</sup>I thank Daniyar Kasenov for coding the experiment.

- · 82 subjects recruited through personal connections and via social media
- · 24 sentences per subject in total
- · separate list for each subject
- · comprehension question after each sentence

#### **Example experimental items**

(15) 'no\_D'

Na-vezli lyudeĭ syuda so vseĭ stranȳ, a potom brosili cmL-brought people here from all country and then left

'They brought people from the entire country here, and then just left them.'

(16) 'has\_D'

Na-vezli nas syuda so vseĭ strany, a potom brosili cmL-brought us here from all country and then left

'They brought us here from the entire country, and then just left us.'

#### **Example filler items**

- (17) Nikto ne znaet gde rabotaet ego mama nobody not knows where works his mum 'Nobody knows where his mother works.'
- (18) \* Nikto ne znaet rabotaet ego mama gde nobody not knows works his mum where 'Nobody knows where his mother works.'

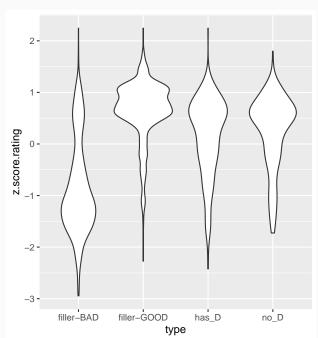
#### Results

All data manipulations were performed using R (R Core Team 2018).<sup>2</sup>

Condition	Mean	Sd	
filler-GOOD	6.24	1.3	
filler-BAD	3.03	2.04	
has_D	5.19	1.76	
no_D	5.32	1.82	

 $<sup>^2</sup>$ l'm grateful to Mikhail Knyazev and George Moroz for showing me how to analyse the results in R.

#### This can be visualised by condition type in a violin plot:



## Ratings for experimental items

Sentence	has_D-mean	no_D-mean	has_D-median	no_D-median	has_D-sd	no_D-sd
napridumÿval1	5.428	5.784	6.0	6.0	1.436	1.071
napriglashal	5.763	6.25	6.0	7.0	1.182	1.112
nasobirala1	5.333	4.108	6.0	4.0	1.758	2.081
napriglashala	5.659	4.757	6.0	5.0	1.681	1.687
napridumyval2	5.395	6.273	5.0	7.0	1.332	1.097
nasobirala2	4.474	5.326	4.0	6.0	1.747	1.791
navezli	5.895	6.0	6.0	6.5	1.556	1.299
nazakazÿvala	3.837	3.789	3.0	4.0	2.094	2.195

One pair with very low ratings irrespective of presence of D.

#### **Experiment: summary**

- no significant difference in acceptability between has\_D and no\_D conditions
- not predicted if cumulative na- c-selects a QP
- · predicted by NP hypothesis

#### **Dilemma**

What is responsible for the low acceptability of Pereltsvaig's sentences?

- (19) \* Dzheĭms Bond na-priglashal [ ètu dyuzhinu krasotok ]

  James Bond cмL-invited this dozen babes

  ('James Bond invited these dozen babes.')
- (20) \* Dzheĭms Bond na-priglashal [ nas / menya ]

  James Bond cml-invited us me

  ('James Bond invited {us/me} a lot.')
- (21) \* Dzheĭms Bond na-priglashal [ lvanovÿkh / lvanova ]

  James Bond cmL-invited lvanovs lvanov

  ('James Bond invited {the lvanovs/lvanov} a lot.')

#### A different view of cumulative na- verbs

- · cumulative na- verbs require a measure
- · that measure can be either explicit or contextually salient
- but it is not easily recoverable from the context in the unacceptable examples because there simply is no context available

# Other properties

## Inability to control PRO

#### Matushansky & Ruys (2015)

(22) Džejms Bond napriglašal [ djužinu krasotok ] vypit' po James Bond Acm.invited dozen.Acc babes.gen drink.INF DIST.P martini.

#### Martini

- = James  $Bond_j$  invited [a whopping dozen babes]<sub>k</sub>  $PRO_{k+j}$  to drink a martini apiece.
- $\neq$  James Bond<sub>j</sub> invited [a whopping dozen babes]<sub>k</sub> PRO<sub>k</sub> to drink a martini apiece.

Arguments of cumulative *na-* verbs can also apparently control PRO in depictive secondary predicates:

(23) On na-sobiral ètikh sliv [PRO eshchë zelënymi] he cml-picked these plums still green 'He picked these plums still unripe.'

Though the constraints on depictive secondary predicates in Russian are still poorly understood.

## Inability to bind anaphors

Pereltsvaig (2006: 41a) gives the following judgement:

\* Bond na-priglashal krasotok na dni rozhdeniya drug druga Bond cmL-invited babes on days birth each other ('Bond invited (many) babes to each other's birthdays.')

## Ability to bind anaphors

I think context improves such sentences quite substantially:

(25) It's always like this for him...

Snachala na-podchinyaet vedomstv drug drugu, a nam first cmL-subordinate government.bodies each other, and us potom razbirat'sya later sort.out

'First, he subordinates a whole lot of government bodies to each other, and we're left to sort out the mess.'

On obozhaet na-protivopostavlyat' neskol'ko tochek zreniya drug drugu, he loves CML-oppose several points view each other a potom ob'yavit' ikh polnost'yu sovmestimymi and then declare them fully compatible 'He just loves to first oppose several viewpoints to each other, only to declare them fully compatible afterwards.'

#### **Conclusions**

- contra Pereltsvaig (2006), Russian small nominals are not c-selected by cumulative na-
- therefore, they cannot be used to support the DP/PossP/QP/.../NP hypothesis
- other selection-based arguments exist e.g. Erschler (2019) and need to be addressed

# **Appendix**

## (In)compatibility with 'certain' i

'Arguments of cumulative *na*- are incompatible with adjectives denoting specificity; the only possibility is to mark such adjectives with the genitive case, with the resulting interpretation being the kind interpretation'.

This is demonstrably wrong:

nasobirat' 'CML-pick' is compatible with accusative NPs containing opredelënnỹĭ, as in

- · nasobiral opredelënnye laifkhaki
- · nasobiral opredelënnye sredstva
- nasobiral opredelënnÿĭ opÿt/kapital

Pereltsvaig (2006) further claims that 'if a quantity expression is present, it cannot be modified by a specificity adjective', giving the following example:

## (In)compatibility with 'certain' ii

(27) \* Džejms Bond na-sobiral [ opredelënnuju oxapku cvetov ]. James Bond cml-picked particular armful of.flowers intended: 'James Bond picked a particular armful of flowers.'

Again, this observation does not appear to hold, since the above sentence is flawless if *količestvo* 'quantity/amount' is substituted for *oxapka* 'armful':

(28) Džejms Bond na-sobiral [opredelënnoe količestvo cvetov ].

James Bond cml-picked particular amount of flowers

'James Bond picked a particular amount of flowers.'

#### References i

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